

TRANSMITTAL LETTER TO THE  
UNITED STATES RECEIVING OFFICE

Date	June 22, 2001
International Application No.	PCT/GB99/04399
Attorney Docket No.	55908 (46322)

I. Certification under 37 CFR 1.10 (if applicable)

EL895417581US
Express Mail mailing number

531 Rec'd PCT. 22 JUN 2001 06/868879

June 22, 2001
Date of Deposit

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

*Patricia A. Barnes*  
Signature of person mailing correspondence

Patricia A. Barnes  
Typed or printed name of person mailing correspondence

II. ☒ New International Application

TITLE	GLYCOSYL PHOSPHATIDYL INOSITOL SPECIFIC PHOSPHOLIPASE D PROTEINS AND USES THEREOF
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Earliest priority date (Day/Month/Year)
24 December 1998

**SCREENING DISCLOSURE INFORMATION:** In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☒ The invention disclosed was **not** made in the United States.
- B. ☒ There is no prior U.S. application relating to this invention.
- C. ☐ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority).

application no.		filed on	
application no.		filed on	

- D. ☐ The present international application ☐ is identical ☐ contains less subject matter than that found in the prior U.S. application(s) identified in paragraph C.
- E. ☐ The present international application ☐ contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages  and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15

III. ☐ A Response to an Invitation from the RO/US. The following document(s) is (are) enclosed:

- A. ☐ A Request for An Extension of Time to File a Response
- B. ☐ A Power of Attorney (General or Regular)
- C. ☐ Replacement pages:

pages		of the request (PCT/RO/101)	pages		of the figures
pages		of the description	pages		of the abstract
pages		of the claims			

- D. ☐ Submission of Priority Documents

Priority document		Priority document	
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- E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

IV. ☐ A Request for Rectification under PCT 91 ☐ A Petition ☐ A Sequence Listing Diskette

V. ☐ Other (please specify):

The person signing this form is the:	<input type="checkbox"/> Applicant	Robert L. Buchanan
	<input checked="" type="checkbox"/> Attorney/Agent (Reg. No.) 40,927	Typed name of signer
	<input type="checkbox"/> Common Representative	Signature

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53)  
09/868879INTERNATIONAL APPLICATION NO.  
PCT/GB99/04399ATTORNEY'S DOCKET NUMBER  
55908 (46322)

24. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**Surcharge of \$130.00 for furnishing the oath or declaration later than \_\_\_\_\_ months from the earliest claimed priority date (37 CFR 1.492 (e)). ☐ 20 ☐ 30

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	- 20 =	0	x \$18.00
Independent claims	- 3 =	0	x \$80.00

Multiple Dependent Claims (check if applicable). ☐**TOTAL OF ABOVE CALCULATIONS =**

Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.

**SUBTOTAL =**Processing fee of \$130.00 for furnishing the English translation later than \_\_\_\_\_ months from the earliest claimed priority date (37 CFR 1.492 (f)). ☐ 20 ☐ 30**TOTAL NATIONAL FEE =**Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐**TOTAL FEES ENCLOSED =**

Amount to be:	\$
refunded	
charged	\$

- a. ☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.37(a) or (b)) must be filed and granted to restore the application to pending status.

END ALL CORRESPONDENCE TO:

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 Registration No. 40,927  
 Mike, Bronstein, Roberts & Cushman  
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SIGNATURE

Robert L. Buchanan

NAME

40,927

REGISTRATION NUMBER

June 22, 2001

DATE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: J. Schofield et al.

U.S.S.N.: 09/868,879 (PCT/GB99/04399) Group Art: Unassigned

FILED: June 22, 2001 Examiner: Unassigned

FOR: GLYCOSYL PHOSPHATIDYL INOSITOL PHOSPHOLIPASE D PROTEINS AND  
USES THEREOF

ATTN: **PCT Legal Branch**

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CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as Express Mail No. EL895420625US in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231 on: **December 13, 2001.**

By: Patricia A. Barnes  
Patricia A. Barnes

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STATEMENT

Sir:

The undersigned states that the entire delay in filing the required reply from the due date for such reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional.

Enclosed with this Statement is the petition fee set forth in § 1.17(m).

Although it is not believed that any additional fee is needed to consider this submission, the Examiner is authorized to charge our deposit account no. 04-1105 should such fee be deemed necessary.

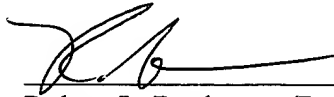
Should the PCT Legal Branch wish to discuss this submission including any documents attached hereto, the undersigned attorney would appreciate the opportunity to do so. Thus the Branch is hereby authorized to call the undersigned,

USSN: 09/868,879 (PCT/GB99/04399)

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collect at the number shown below.

Respectfully submitted,



Robert L. Buchanan (Reg. No. 49,207)

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Date: 13 December 2001